PTO/SB/08A (08-031

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Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Complete if Known      |                               |  |
|------------------------|-------------------------------|--|
| Application Number     | 09/749,709                    |  |
| Filing Date            | December 27, 2000             |  |
| First Named Inventor   | Liu et al.                    |  |
| Art Unit               | 1632                          |  |
| Examiner Name          | Paras, Jr. P. Robert M. Kelly |  |
| Attomory Dookst Number | 10/12 1772                    |  |

|                       |              |  | U. S. PATEN                    | DOCUMENTS  | Class/Subclass  |  |
|-----------------------|--------------|--|--------------------------------|--|---|--|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (f Mozer)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
| PUK                   | AA           | <sup>US-</sup> 5,596,089                                 | 01-21-1997                     | David W. Silversides et al.                        | 536/24.3  |  |
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|-----------------------|--|---|---------------------|--|---|----------------|
| Examiner<br>Initials* | Cite<br>No.                                      | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |
|                       |  | Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          | , pp   | Or Relevant Figures Appear                        | T <sup>®</sup> |
|                       | AB   | FR 2 782 734  | 103-02-2000         | Inst Nat Sante Rech Med                            |   |                |
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| Substitute for form 1449B/PTO     |   | Complete if Known   |
|-----------------------------------|---|---|
|                                   | Application Number  | 09/749,709  |
| INFORMATION DISCLOSURE            | Filing Date   | December 27, 2000   |
| STATEMENT BY APPLICANT            | First Named Inventor                                      | ### Op/749,709  ### Op/749,709  ### December 27, 2000  #### Liu et al.  #### Unit |
|                                   | Art Unit  | 1632  |
| (Uso as many sheets as necessary) | Art Unit 1632  Examiner Name Paras Jr. P. Robert M. Kelly |   |
| Sheet 2 of 2                      | Attorney Docket Number                                    | 19412-1773  |
|                                   |   |   |

|   |              | NON PATENT LITERATURE DOCUMENTS   | -  |
|---|--------------|---|----|
| Examiner<br>Initials*                   | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| Park                                    | A28          | O'GORHAM S ET AL., Protamine-Cre recombinase transgenes efficiently recombine target sequences in the male germ line of mice, but not in embryonic stem cells, Proc. Natl. Acad. Sci. Washington, US, Vol. 94, December 1997, Pages 14602-14607                 |    |
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| Examiner<br>Signature                   | 7            | Date Considered 5/18/13   |    |

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Attorney Docket Number

19412-1773

STATEMENT BY APPLICANT First Named Inventor Chengyu Liu Art Unit 1632 (Use as many sheets as necessary) Examiner Name Paras Jr., P.

NON PATENT LITERATURE DOCUMENTS Cite Examiner include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Initials\* No.1 the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue T<sup>2</sup> number(s), publisher, city and/or country where published. Muir et al., PNAS 96: 13853-13856 (1999). A25 Thomas et al., Science 287: 2474-2476 (2000). A26 Willison, K.R., Nature 402: 131-132 (1999). A27 Examine Date Signature Considered

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